

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L99999MH1985PLC036391

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAACN2703L

(ii) (a) Name of the company

NIVI TRADING LIMITED

(b) Registered office address

C/O UNITED PHOSPHORUS LTD, READYMONEY TERRACE,
4TH FLOOR,DR. A.B.ROAD, WORLI NAKA,
MUMBAI
Mumbai City
Maharashtra
400010

(c) *e-mail ID of the company

nikitha.nair@uniphos.com

(d) *Telephone number with STD code

02261233500

(e) Website

www.nivionline.com

(iii) Date of Incorporation

29/05/1985

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE LIMITED	1

(b) CIN of the Registrar and Transfer Agent

U67190MH1999PTC118368

Pre-fill

Name of the Registrar and Transfer Agent

LINK INTIME INDIA PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park,
Lal Bahadur Shastri Marg, Vikhroli (West)

(vii) *Financial year From date 01/04/2019 (DD/MM/YYYY) To date 31/03/2020 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM 28/09/2020

(b) Due date of AGM 30/09/2020

(c) Whether any extension for AGM granted Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities 1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	G	Trade	G1	Wholesale Trading	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given 0

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1				

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	1,250,000	1,245,600	1,245,600	1,245,600
Total amount of equity shares (in Rupees)	12,500,000	12,456,000	12,456,000	12,456,000

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	1,250,000	1,245,600	1,245,600	1,245,600
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	12,500,000	12,456,000	12,456,000	12,456,000

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
Equity shares				
At the beginning of the year	1,245,600	12,456,000	12,456,000	

Increase during the year	0	0	0	0
i. Public Issues	0	0	0	0
ii. Rights issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0
v. ESOPs	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify				
Decrease during the year	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
At the end of the year	1,245,600	12,456,000	12,456,000	
Preference shares				
At the beginning of the year	0	0	0	
Increase during the year	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0
iii. Others, specify				
Decrease during the year	0	0	0	0
i. Redemption of shares	0	0	0	0

ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
At the end of the year	0	0	0	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes

No

Not Applicable

Separate sheet attached for details of transfers

Yes

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	<input type="text"/>		
Date of registration of transfer (Date Month Year)	<input type="text"/>		
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor	<input type="text"/>		

Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee	<input type="text"/>		
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
--	----------------------

Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
------------------	----------------------	--

Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
--	----------------------	--	----------------------

Ledger Folio of Transferor	<input type="text"/>
----------------------------	----------------------

Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
----------------------------	----------------------

Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			0
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			0
Deposit			0
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

213,855

(ii) Net worth of the Company

15,755,351

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity	Preference
--------	----------	--------	------------

		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	150,000	12.04	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	150,000	12.04	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	433,000	34.76	0	
10.	Others Partnership Firms	200,000	16.06	0	
	Total	933,000	74.9	0	0

Total number of shareholders (promoters)

16

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	262,600	21.08	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	

2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	50,000	4.01	0	
10.	Others	0	0	0	
	Total	312,600	25.09	0	0

Total number of shareholders (other than promoters)

13

**Total number of shareholders (Promoters+Public/
Other than promoters)**

29

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	16	16
Members (other than promoters)	13	13
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive

A. Promoter	0	3	0	3	0	14.05
B. Non-Promoter	0	2	0	2	0	0
(i) Non-Independent	0	0	0	0	0	0
(ii) Independent	0	2	0	2	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	0	5	0	5	0	14.05

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

7

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
RAJNIKANT DEVIDAS	00180810	Director	50,000	
SANDRA RAJNIKANT S	00189012	Managing Director	50,000	
JAIDEV RAJNIKANT SH	00191050	Director	75,000	
NITIN A. KOLHATKAR	03246005	Additional director	0	14/08/2020
RAHUL R. JADHAV	06955337	Additional director	0	14/08/2020
BRUBECK PEIERRE D	AALPD2860L	CFO	23,500	
NIKITHA NAIR	ANDPN9537H	Company Secretar	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

0

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEETING	20/09/2019	29	7	18.42

B. BOARD MEETINGS

*Number of meetings held

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	17/05/2019	5	5	100
2	31/07/2019	5	5	100
3	07/11/2019	5	5	100
4	07/02/2020	5	5	100
5	29/03/2020	5	4	80

C. COMMITTEE MEETINGS

Number of meetings held

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	AUDIT COMM	17/05/2019	3	3	100
2	AUDIT COMM	31/07/2019	3	3	100
3	AUDIT COMM	07/11/2019	3	3	100
4	AUDIT COMM	07/02/2020	3	3	100
5	NOMINATION	29/03/2020	3	3	100
6	INDEPENDEN	03/03/2020	2	2	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
1	RAJNIKANT D	5	5	100	5	5	100	Yes
2	SANDRA RAJ	5	5	100	0	0	0	Yes
3	JAIDEV RAJN	5	4	80	0	0	0	No
4	NITIN A. KOLI	5	5	100	6	6	100	No
5	RAHUL R. JAI	5	5	100	6	6	100	No

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

Number of CEO, CFO and Company secretary whose remuneration details to be entered

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

Number of other directors whose remuneration details to be entered

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Whether associate or fellow Associate Fellow

Certificate of practice number

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
 (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

Rajnikant
Devidas
Shroff
Digitally signed by
Rajnikant Devidas Shroff
Date: 2020.11.27 19:30:29
+05'30'

DIN of the director

To be digitally signed by

Nikiitha
Muralidhara
n Nair
Digitally signed by
Nikiitha Muralidhara
Nair
Date: 2020.11.27
19:36:55 +05'30'

- Company Secretary
 Company secretary in practice

Membership number

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

List of attachments

Shareholders -- NIVI_Trading_Limited.pdf
MGT-8 NIVI TRADING.pdf

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

NIV Trading Limited
Details of Members, Debenture Holders and Other Securities Holder

FOLIO NO	SECURITY HOLDER'S NAME	FATHER / HUSBAND NAME	JOINT HOLDER'S NAME	TYPE	SH/DB	AMT/PERSH	SECHOLDERDT	ADDRESS	CITY	DISTRICT	STATE	COUNTRY	PIN
0000003	VENTURA GUARANTY LTD			1	050000	000010		DHANNUR, GROUND FLOOR 15, SIR P. M. ROAD	MUMBAI	MUMBAI	INDIA	INDIA	400001
0000023	RAJENDRA B. DARAK			1	012100	000010		UNIPHOS HOUSE C. D. MARG, 11TH ROAD NEAR	MUMBAI	MUMBAI	INDIA	INDIA	400052
0000024	MUKUL B. TRIVEDI			1	012500	000010		1206, MANISH TOWERS J. P. ROAD, 4 BUNGLO	MUMBAI	MUMBAI	INDIA	INDIA	400053
0000025	SANJEEV TRIVEDI			1	013500	000010		CASA NINA, NIGVADDO ARRARIM, SALIGAO BAR	SALIGAO	SALIGAO	INDIA	INDIA	403511
0000027	SALIM M. GOVANI			1	025000	000010		203/204, SEAGULL-A SHERYL ROAD BANDRA (W	MUMBAI	MUMBAI	INDIA	INDIA	400052
0000031	ABID F. MAGARIA			1	023500	000010		FLAT NO. 603, BLDG NO. 46 NRI COMPLEX SE	THANE	THANE	INDIA	INDIA	400706
0000032	KANTI M. THACKER			1	047000	000010		C/O UNITED PHOSPHORUS LTD. UNIPHOS HOUSE	MUMBAI	MUMBAI	INDIA	INDIA	400052
0000034	NIKHIL M. GANDHI			1	023500	000010		202 C, ANAND SAGAR M G ROAD KANDIVALI (W	MUMBAI	MUMBAI	INDIA	INDIA	400067
0000036	BRUBECK P. DIAS			1	023500	000010		HOUSE NO. 129, 1ST FLOOR KALINA SANTACRU	MUMBAI	MUMBAI	INDIA	INDIA	400029
0000037	JAWAHAR M. THACKER			1	023500	000010		F 305, MANISH PARK R J ROAD, PUMP HOUSE	MUMBAI	MUMBAI	INDIA	INDIA	400093
0000040	SAMIR MEHTA			1	012000	000010		C/O UNITED PHOSPHORUS LTD. UNIPHOS HOUSE	MUMBAI	MUMBAI	INDIA	INDIA	400052
0000041	KARTHIK BHATIA			1	023500	000010		C/O UNITED PHOSPHORUS LTD. UNIPHOS HOUSE	MUMBAI	MUMBAI	INDIA	INDIA	400052
0000042	BIPIN N. JANI			1	023500	000010		C/O UNITED PHOSPHORUS LTD. UNIPHOS HOUSE	MUMBAI	MUMBAI	INDIA	INDIA	400052
13925452	ESTHETIC FINVEST PVT			1	050000	000010		UNIPHOS HOUSE C D MARG 11TH ROAD MADHU P	MUMBAI	MUMBAI	INDIA	INDIA	400052
14345740	SHATATARAKA HOLDING			1	050000	000010		UNIPHOS HOUSE OPP MADHU PARK C M MARG 11	MUMBAI	MUMBAI	INDIA	INDIA	400052
14534615	RAJU D SHROFF		DEVIDAS C SHROFF	1	046000	000010		C/O. UNITED PHOSPHORUS LIMITED UNIPHOS HO	MUMBAI	MUMBAI	INDIA	INDIA	400052
14587705	DEMURIC HOLDINGS PVT		SANDRA D SHROFF	1	050000	000010		C/O. UNITED PHOSPHORUS LIMITED UNIPHOS HO	MUMBAI	MUMBAI	INDIA	INDIA	400052
14740914	RAJNIKANT D SHROFF			1	050000	000010		UNIFIED PHOSPHORUS LIMITED UNIPHOS HOUSE	MUMBAI	MUMBAI	INDIA	INDIA	400052
14740957	SHILPA P SAGAR			1	050000	000010		C/O. UNITED PHOSPHORUS LIMITED UNIPHOS HO	MUMBAI	MUMBAI	INDIA	INDIA	400052
14740965	SANDRA R SHROFF		SANDRA R SHROFF	1	050000	000010		C/O. UNITED PHOSPHORUS LIMITED UNIPHOS HO	MUMBAI	MUMBAI	INDIA	INDIA	400052
16385218	RAJU D SHROFF			1	050000	000010		UNIFIED PHOSPHORUS LIMITED UNIPHOS HOUSE	MUMBAI	MUMBAI	INDIA	INDIA	400052
16518790	R SHROFF CONSULTANTS		JAI R SHROFF	1	025000	000010		C/O. UNITED PHOSPHORUS LTD 11 TH ROAD UNI	MUMBAI	MUMBAI	INDIA	INDIA	400052
18594295	BLOOM PACKAGING PVT			1	050000	000010		C/O. UNITED PHOSPHORUS LTD UNIPHOS HOUSE	MUMBAI	MUMBAI	INDIA	INDIA	400052
18778621	RAJU D SHROFF		SANDRA R SHROFF	1	025000	000010		C/O. UNITED PHOSPHORUS LTD UNIPHOS HOUSE	MUMBAI	MUMBAI	INDIA	INDIA	400052
21319670	JAIDEV RAJNIKANT SHR			1	075000	000010		C/O. UNITED PHOSPHORUS LTD UNIPHOS HOUSE	MUMBAI	MUMBAI	INDIA	INDIA	400052
21590368	BIPIN NANDLAL JANI		NAVIN CHANDRASEN ASH	1	050000	000010		FLAT 17 SITE OFFICE 10 LANCELOT PLACE SO	JOHANNESBURG	JOHANNESBURG	INDIA	INDIA	111111
21612592	SANDRA R SHROFF		JAIDEV R SHROFF	1	050000	000010		GROUP 8/B CHAWL NO 181 ROOM NO 2800 TAGO	MUMBAI	MUMBAI	INDIA	INDIA	400083
50962375	VIKRAM RAJNIKANT SHR		RAJNIKANT	1	050000	000010		202 PARISHRAM BLDG PALI HILL BANDRA MUMB	MUMBAI	MUMBAI	INDIA	INDIA	400050
			RAJNIKANT DEVIDAS SH	1	075000	000010		KINAARA NEXT TO MILTON APTS JUHU TARA RO	MUMBAI	MUMBAI	INDIA	INDIA	400056

TOTAL

1245600



Form No. MGT-8

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

For the financial year ended March 31, 2020

*[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies
(Management and Administration) Rules, 2014]*

NIVI TRADING LIMITED

Paid-up Capital: Rs. 1, 24, 56,000/-(As on March 31, 2020)

CIN of the Company: L99999MH1985PLC036391

We have examined the registers, records and books and papers of **NIVI TRADING LIMITED**("the Company") as required to be maintained under the Companies Act, 2013 ("the Act") and the rules made thereunder for the financial year ended on March 31, 2020. In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by the Company, its officers and agents, we certify that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. During the aforesaid Financial Year, the Company has complied with provisions of the Act & Rules made thereunder in respect of:
1. Its status under the Act being Listed Public Limited Company is active.
 2. The Company has maintained registers/records & has made entries therein within the time prescribed.
 3. The Company has filed all forms and returns as stated in the annual return, with the Registrar of Companies, ~~Regional Director, Central Government, the Tribunal, Court or other authorities~~ within the prescribed time and wherever required with additional filing fees.



4. The Company has called/convened/held meetings of Board of Directors, Independent Directors or its Committees, and the meetings of the Members of the Company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions and resolutions passed by postal ballot, have been properly recorded in the Minutes Book/registers maintained for the purpose and the same have been signed.
5. The Company has not closed its register of members/security holders and Share Transfer Books.
6. The Company has not given any advances/loans to its directors and/or persons or firms or companies referred in Section 185 of the Act.
7. The Company has entered into transactions with related parties. All the transactions with related parties are in the ordinary course of business and on an arms' length basis.
8. There were no ~~issue / allotment / transfer / transmission / buyback of securities / redemption of debentures / alteration or reduction of share capital / conversion of shares or securities~~ and issue of security in all instances as per the provisions of the Companies Act, 2013.
9. There were no instances requiring the Company to keep in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act.
10. The Company had not declared dividend at its Annual General Meeting held on Friday, September 20, 2019.

Further, there were no amounts of dividend/ shares required to be transferred to the Investor Education and Protection Fund by the Company.

11. Signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof.

12. There was no change in constitution of the Board, ~~appointment/re-appointment~~ retirement/ ~~filing of casual vacancies~~ / disclosures of Directors / Key Managerial



Personnel and the remuneration paid to them are in compliance with the provisions of the Act.

13. The Company has appointed M/s. Vora & Associates, Chartered Accountants as a Statutory Auditors of the Company pursuant to the provisions of Section 139 of the Act for the period of five consecutive years from F.Y.2017-18 till F.Y. 2021-2022.
14. The Company was not required to obtain approvals from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act.
15. The Company has neither accepted nor renewed deposits and therefore no repayment is required to be made.
16. The Company has not borrowed money from Directors, Members, Public Financial Institutions, Banks and Others.
17. The Company has provided loans and made investments ~~or guarantees or provided securities to other bodies corporate or persons~~ which is within the limit of Section 186 of the Act.
18. There is no alteration of the provisions of the Memorandum and/or Articles of Association of the Company.

For M/s N. L. Bhatia & Associates
Practicing Company Secretaries
UIN: P1996MH055800
UDIN: F008663B001313153



Place: Mumbai

Date: November 26 , 2020.

Bhaskar R. Upadhyay

Partner

FCS:8663

COP No: 9625